



Matt Brown

Founder, Chairman, & Chief Executive Officer | CAIS

Matt Brown is the Founder, CEO, and Chairman of CAIS. He has spent more than 30 years at the intersection of wealth management, alternative investments, and platform design. In 2009, Matt founded CAIS, the first truly open marketplace for alternative investments, where financial advisors and alternative asset managers can engage and transact directly on a massive scale.

Matt believes entrepreneurship is the major driver of economic and social change. He has spent the better part of two decades working with Endeavor, the world's leading organization for high impact entrepreneurs. During his tenure, he had the opportunity to mentor dozens of entrepreneurs from locations around the world, including Africa, Latin America, and the Middle East. Matt is honored to have been on Endeavor's South African and Turkish Boards, which ultimately led to a position on the Global Board of Directors. This experience deepened his belief that helping entrepreneurs build and scale their businesses promotes economic growth and prosperity. It is his personal mission to ensure that anyone with a dream, and the drive to achieve it, has an equal opportunity to make it happen.

Social Media

[Matt Brown LinkedIn](#)

[CAIS LinkedIn](#)

Firm Website

[CAIS Group.com](https://caisgroup.com)

About CAIS

CAIS is the leading alternative investment platform for financial advisors who seek improved access to and education about alternative investment funds and products. CAIS provides financial advisors with a broad selection of alternative investment strategies including, hedge funds, private equity, private credit, real estate and structured notes, allowing them to capitalize on opportunities and/or withstand ever-changing markets. Additionally, CAIS provides an industry-leading learning system, CAIS IQ, that helps advisors learn faster, remember longer and improve client outcomes.

All funds listed on CAIS undergo Mercer's independent due diligence and ongoing monitoring. Mercer diligence reports and fund ratings are available to advisors on the CAIS password-protected platform. CAIS streamlines the end-to-end transaction process through digital subscriptions and integrated reporting with Fidelity, Schwab and Pershing, making investing in alternatives simple.

Founded in 2009, CAIS, a fintech leader, is empowering wealth management by leveling the playing field for financial advisors everywhere. Securities offered through CAIS Capital LLC, member FINRA, SIPC.



Abby Salameh

Chief Marketing Officer and Managing Director of CAIS IQ | CAIS

Abby Salameh is the Chief Marketing Officer and Managing Director of CAIS IQ. She leads the firm's brand and media strategy, corporate communications, channel marketing, and the CAIS IQ business unit. Abby has more than 20 years of experience in the independent wealth ecosystem.

Before joining CAIS, she served as CMO at Hightower and Private Advisor Group. She has also previously held leadership positions at Fusion Advisor Network and was Head of Marketing for Institutional Services at TD Ameritrade and TD Waterhouse Institutional Services. She holds a BA in Finance from Hofstra University and an MBA from the NYU Stern School of Business.

Social Media

[Abby Salameh LinkedIn](#)

[CAIS LinkedIn](#)

Firm Website

CAIS Group.com

About CAIS

CAIS is the leading alternative investment platform for financial advisors who seek improved access to and education about alternative investment funds and products. CAIS provides financial advisors with a broad selection of alternative investment strategies including, hedge funds, private equity, private credit, real estate and structured notes, allowing them to capitalize on opportunities and/or withstand ever-changing markets. Additionally, CAIS provides an industry-leading learning system, CAIS IQ, that helps advisors learn faster, remember longer and improve client outcomes.

All funds listed on CAIS undergo Mercer's independent due diligence and ongoing monitoring. Mercer diligence reports and fund ratings are available to advisors on the CAIS password-protected platform. CAIS streamlines the end-to-end transaction process through digital subscriptions and integrated reporting with Fidelity, Schwab and Pershing, making investing in alternatives simple.

Founded in 2009, CAIS, a fintech leader, is empowering wealth management by leveling the playing field for financial advisors everywhere. Securities offered through CAIS Capital LLC, member FINRA, SIPC.



Timothy Shannon

President & Chief Financial Officer | CAIS

Tim Shannon is President and CFO at CAIS and is responsible for firm management and business development. He has more than 27 years of experience in alternative investments and wealth management. Shortly after launching his career, he founded Praxis Advisory, which was later acquired by Bank of America. He has held positions as Head of Business Development at Morgan Stanley Hedge Fund Partners, Managing Director at Citigroup Private Bank, COO of Traxis Partners and COO of Bernstein Private Wealth Management. Tim received a BS from the University of Pennsylvania and an MBA from The Wharton School. He holds his FINRA Series 3, 7, 24, and 63 licenses.

Social Media

[Timothy Shannon LinkedIn](#)
[CAIS LinkedIn](#)

Firm Website

CAIS Group.com

About CAIS

CAIS is the leading alternative investment platform for financial advisors who seek improved access to and education about alternative investment funds and products. CAIS provides financial advisors with a broad selection of alternative investment strategies including, hedge funds, private equity, private credit, real estate and structured notes, allowing them to capitalize on opportunities and/or withstand ever-changing markets. Additionally, CAIS provides an industry-leading learning system, CAIS IQ, that helps advisors learn faster, remember longer and improve client outcomes.

All funds listed on CAIS undergo Mercer's independent due diligence and ongoing monitoring. Mercer diligence reports and fund ratings are available to advisors on the CAIS password-protected platform. CAIS streamlines the end-to-end transaction process through digital subscriptions and integrated reporting with Fidelity, Schwab and Pershing, making investing in alternatives simple.

Founded in 2009, CAIS, a fintech leader, is empowering wealth management by leveling the playing field for financial advisors everywhere. Securities offered through CAIS Capital LLC, member FINRA, SIPC.



Brendan Cuddihy

Chief Operating Officer | CAIS

Brendan Cuddihy is the Chief Operating Officer at CAIS. He oversees the Operations, Client Service, and Integrations teams. Brendan is responsible for funds and investment product operations, including onboarding, integration of workflows, reporting, and investor/manager relations. He has more than 10 years of experience in the asset management and financial services industry, having worked at US Bancorp and Moody's Corporation. Brendan graduated Cum Laude with a BA in Economics and received his MBA from Marist College. Brendan holds his Series 7 and Series 63 licenses.

Social Media

[Brendan Cuddihy LinkedIn](#)

[CAIS LinkedIn](#)

Firm Website

[CAIS Group.com](https://caisgroup.com)

About CAIS

CAIS is the leading alternative investment platform for financial advisors who seek improved access to and education about alternative investment funds and products. CAIS provides financial advisors with a broad selection of alternative investment strategies including, hedge funds, private equity, private credit, real estate and structured notes, allowing them to capitalize on opportunities and/or withstand ever-changing markets. Additionally, CAIS provides an industry-leading learning system, CAIS IQ, that helps advisors learn faster, remember longer and improve client outcomes.

All funds listed on CAIS undergo Mercer's independent due diligence and ongoing monitoring. Mercer diligence reports and fund ratings are available to advisors on the CAIS password-protected platform. CAIS streamlines the end-to-end transaction process through digital subscriptions and integrated reporting with Fidelity, Schwab and Pershing, making investing in alternatives simple.

Founded in 2009, CAIS, a fintech leader, is empowering wealth management by leveling the playing field for financial advisors everywhere. Securities offered through CAIS Capital LLC, member FINRA, SIPC.



Penny DeFrank

Managing Director, People Experience | CAIS

Penny DeFrank serves on our executive committee as our Managing Director of People Experience, leading the Talent Acquisition, People Operations and Talent Development teams. She has deep expertise in building and guiding the talent of high-growth tech companies—with a focus on fostering a culture where employees can do their best work. Penny's former employers were made up of a series of early- to mid-stage, high-growth companies across fintech, cyber security and e-commerce, including Corelight, Marqeta, Monitise, White Hat Security and RichRelevance.

Prior to her career in tech, Penny enjoyed more than 10 years at McKinsey & Company where she led all non-consulting operations across four locations on the west coast. Penny holds a BS in Information Systems Management and a Master's in Human Resources and Organization Development, both from the University of San Francisco.

Social Media

[Penny DeFrank LinkedIn](#)

[CAIS LinkedIn](#)

Firm Website

[CAIS Group.com](https://caisgroup.com)

About CAIS

CAIS is the leading alternative investment platform for financial advisors who seek improved access to and education about alternative investment funds and products. CAIS provides financial advisors with a broad selection of alternative investment strategies including, hedge funds, private equity, private credit, real estate and structured notes, allowing them to capitalize on opportunities and/or withstand ever-changing markets. Additionally, CAIS provides an industry-leading learning system, CAIS IQ, that helps advisors learn faster, remember longer and improve client outcomes.

All funds listed on CAIS undergo Mercer's independent due diligence and ongoing monitoring. Mercer diligence reports and fund ratings are available to advisors on the CAIS password-protected platform. CAIS streamlines the end-to-end transaction process through digital subscriptions and integrated reporting with Fidelity, Schwab and Pershing, making investing in alternatives simple.

Founded in 2009, CAIS, a fintech leader, is empowering wealth management by leveling the playing field for financial advisors everywhere. Securities offered through CAIS Capital LLC, member FINRA, SIPC.



Marc Premelaar

Senior Managing Director, Structured Products | CAIS

Marc Premelaar heads the Structured Products team at CAIS. Marc is responsible for overseeing the origination, distribution, and client coverage across all regions for the Structured Products team. He has more than 13 years of capital markets and financial services industry experience. Prior to joining CAIS, Marc worked at Barclays Wealth, K2 Global Consulting, and UBS Wealth Management. Marc received his BS from the University of Maryland and holds FINRA Series 3, 7, 24, and 63 licenses.

Social Media

[Marc Premelaar LinkedIn](#)
[CAIS LinkedIn](#)

Firm Website

[CAIS Group.com](https://caisgroup.com)

About CAIS

CAIS is the leading alternative investment platform for financial advisors who seek improved access to and education about alternative investment funds and products. CAIS provides financial advisors with a broad selection of alternative investment strategies including, hedge funds, private equity, private credit, real estate and structured notes, allowing them to capitalize on opportunities and/or withstand ever-changing markets. Additionally, CAIS provides an industry-leading learning system, CAIS IQ, that helps advisors learn faster, remember longer and improve client outcomes.

All funds listed on CAIS undergo Mercer's independent due diligence and ongoing monitoring. Mercer diligence reports and fund ratings are available to advisors on the CAIS password-protected platform. CAIS streamlines the end-to-end transaction process through digital subscriptions and integrated reporting with Fidelity, Schwab and Pershing, making investing in alternatives simple.

Founded in 2009, CAIS, a fintech leader, is empowering wealth management by leveling the playing field for financial advisors everywhere. Securities offered through CAIS Capital LLC, member FINRA, SIPC.



Michael Richman

Managing Director, General Counsel | CAIS

Michael Richman is the General Counsel at CAIS and is responsible for the firm's legal, compliance, and regulatory affairs. He has more than 13 years of industry experience with a focus on alternative investments and wealth management. Prior to CAIS, Michael served as Director and Associate General Counsel for Alternative Investments at UBS. Prior to UBS, Michael worked at Fortress Investment Group. Michael received his BS from Yeshiva University and JD from Fordham University School of Law. Michael holds the FINRA Series 7 license.

Social Media

[Michael Richman LinkedIn](#)

[CAIS LinkedIn](#)

Firm Website

CAIS Group.com

About CAIS

CAIS is the leading alternative investment platform for financial advisors who seek improved access to and education about alternative investment funds and products. CAIS provides financial advisors with a broad selection of alternative investment strategies including, hedge funds, private equity, private credit, real estate and structured notes, allowing them to capitalize on opportunities and/or withstand ever-changing markets. Additionally, CAIS provides an industry-leading learning system, CAIS IQ, that helps advisors learn faster, remember longer and improve client outcomes.

All funds listed on CAIS undergo Mercer's independent due diligence and ongoing monitoring. Mercer diligence reports and fund ratings are available to advisors on the CAIS password-protected platform. CAIS streamlines the end-to-end transaction process through digital subscriptions and integrated reporting with Fidelity, Schwab and Pershing, making investing in alternatives simple.

Founded in 2009, CAIS, a fintech leader, is empowering wealth management by leveling the playing field for financial advisors everywhere. Securities offered through CAIS Capital LLC, member FINRA, SIPC.



Shane Williams

Chief Product and Technology Officer | CAIS

Shane Williams is the Chief Product and Technology Officer at CAIS. He is responsible for overseeing the Product, Design, and Engineering teams. Shane brings to CAIS more than 20 years of wealth management and alternative investments experience working across the full spectrum of technical leadership, product management, and technology digital transformation. Prior to joining CAIS, Shane spent 12 years at UBS, and most recently he served as the Head of Client Technology at AQR Capital Management. Shane received his BS and MA in Engineering from The University of Manchester.

Social Media

[Shane Williams LinkedIn](#)

[CAIS LinkedIn](#)

Firm Website

[CAIS Group.com](https://caisgroup.com)

About CAIS

CAIS is the leading alternative investment platform for financial advisors who seek improved access to and education about alternative investment funds and products. CAIS provides financial advisors with a broad selection of alternative investment strategies including, hedge funds, private equity, private credit, real estate and structured notes, allowing them to capitalize on opportunities and/or withstand ever-changing markets. Additionally, CAIS provides an industry-leading learning system, CAIS IQ, that helps advisors learn faster, remember longer and improve client outcomes.

All funds listed on CAIS undergo Mercer's independent due diligence and ongoing monitoring. Mercer diligence reports and fund ratings are available to advisors on the CAIS password-protected platform. CAIS streamlines the end-to-end transaction process through digital subscriptions and integrated reporting with Fidelity, Schwab and Pershing, making investing in alternatives simple.

Founded in 2009, CAIS, a fintech leader, is empowering wealth management by leveling the playing field for financial advisors everywhere. Securities offered through CAIS Capital LLC, member FINRA, SIPC.



Brad Walker

Chief Client and Product Development Officer | CAIS

Brad Walker is Chief Client and Product Development Officer at CAIS. He oversees client management, product origination, product support, product distribution, and investment strategy. He also leads the firm's enterprise relationships. Brad has more than 19 years of asset management and financial services experience. Prior to CAIS, Brad was the Director of Platform Distribution for Highbridge. Brad also worked at Morgan Stanley and Carlin Equities LLC. Brad received his BSBA from the University of Delaware. He holds FINRA Series 7, 24, 31, and 63 licenses.

Social Media

[Brad Walker LinkedIn](#)

[CAIS LinkedIn](#)

Firm Website

[CAIS Group.com](https://caisgroup.com)

About CAIS

CAIS is the leading alternative investment platform for financial advisors who seek improved access to and education about alternative investment funds and products. CAIS provides financial advisors with a broad selection of alternative investment strategies including, hedge funds, private equity, private credit, real estate and structured notes, allowing them to capitalize on opportunities and/or withstand ever-changing markets. Additionally, CAIS provides an industry-leading learning system, CAIS IQ, that helps advisors learn faster, remember longer and improve client outcomes.

All funds listed on CAIS undergo Mercer's independent due diligence and ongoing monitoring. Mercer diligence reports and fund ratings are available to advisors on the CAIS password-protected platform. CAIS streamlines the end-to-end transaction process through digital subscriptions and integrated reporting with Fidelity, Schwab and Pershing, making investing in alternatives simple.

Founded in 2009, CAIS, a fintech leader, is empowering wealth management by leveling the playing field for financial advisors everywhere. Securities offered through CAIS Capital LLC, member FINRA, SIPC.