Optimizing Test Data Coverage in Functional Testing

From Data Access to Data Optimisation









Ton Badal

Machine Learning Engineer

ton@synthesized.io



SYNTHESIZED



@TonBadal



https://github.com/TonBadal

Contents

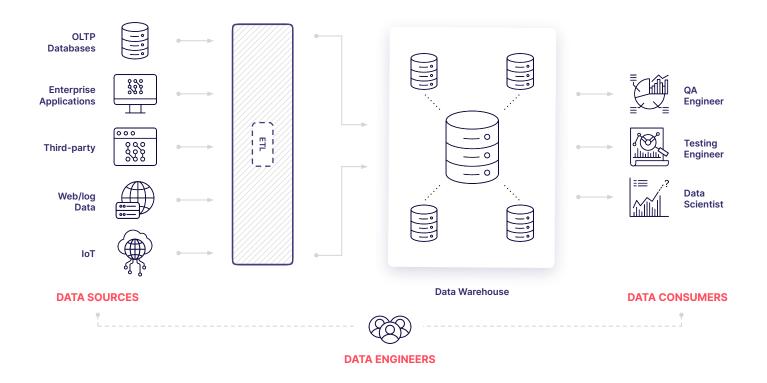
01	Problem: Data Silos & Poor SNR
02	Getting Access to Data
03	Data Coverage



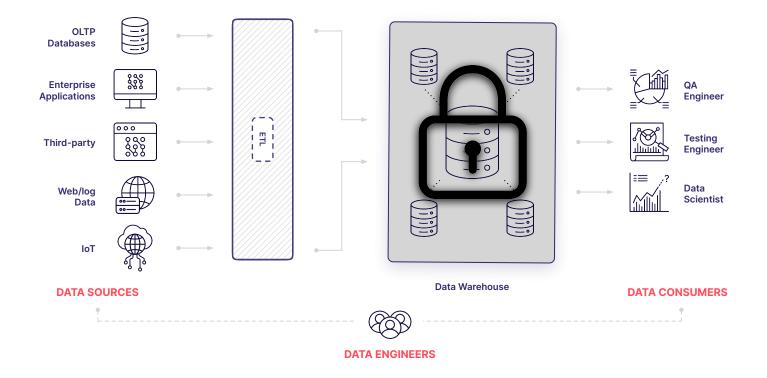
Data for Testing



Data-centric Applications Infrastructure

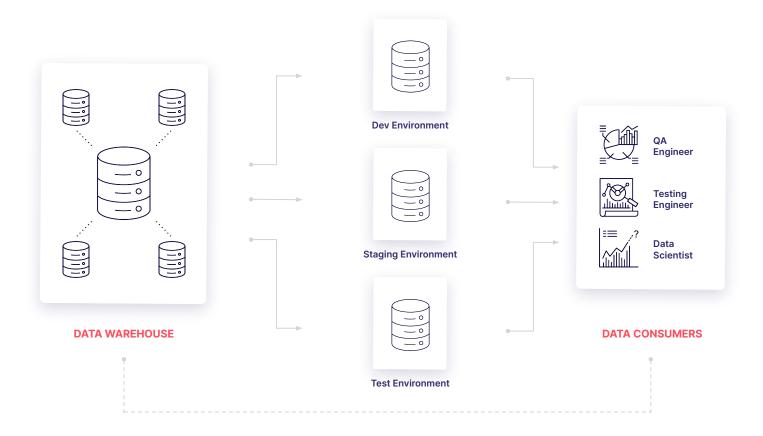


Data Silos



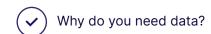
ß

Is my Data the Most Adequate?





Understand you Data



How are you going to use it?

What are the most important features you care about?



How does your data look like?



What team is going to use it?



How often do you need to access your data?

Understand you Data

0110010101100100001000000110000

DATA QUALITY

- High data quality that looks, feels, and tastes like original data
- Statistical properties and utility are preserved

Examples

- Modelling
- Market analysis
- Business Intelligence

SCALABILITY

- Access large amounts of data in short amount of time
- High level information and structure is preserved

Examples

- Performance testing
- Integration testing

Production Data

WHAT IS IT?

• Copying production data into the testing environment.

✓ ADVANTAGES

- High quality data
- Data that behaves like production

X DISADVANTAGES

- Increased chances of data breaches
- Huge amounts of data

TOOLS

N/A

Obfuscated Subset of Production Data

WHAT IS IT?

- Using a smaller portion of the production environment and obfuscating it
- Obfuscating techniques such as:
 - K-anonymity
 - Masking
 - Random string generation
 - Data shuffling

ADVANTAGES

- Data that behaves almost like production
- Easy to configure

X DISADVANTAGES

- Medium data quality
- It's not necessary free of data leakage
- Subsetting is a complex operation
- Obfuscation requires manual labour and is difficult to maintain

TOOLS

- TONIC.ai https://tonic.ai
- Delphix https://delphix.com
- DatProf https://datprof.com

Subsetting

ALTER TABLE ONLY public.table0 ADD CONSTRAINT table0_pk PRIMARY KEY (pk0);

ALTER TABLE ONLY public.table1 ADD CONSTRAINT table1_pk PRIMARY KEY (pk1);

ALTER TABLE ONLY public.table2 ADD CONSTRAINT table2_pk PRIMARY KEY (pk2);

ALTER TABLE ONLY public.table1 ADD CONSTRAINT table1_fk FOREIGN KEY (fk10) REFERENCES public.table0(pk0);

ALTER TABLE ONLY public.table2 ADD CONSTRAINT table2_fk FOREIGN KEY (fk21) REFERENCES public.table1(pk1);

2

0.4

а

	table0			table1				table2			
pk0	x1	у1	pk1	fk10	x1	у1	pk0	fk21	x1	у1	
0	0.4	а	0	2	5.3	С	0	2	0.4	а	
1	2	С	1	4	0.1	а	1	0	2	d	
2	3.5	b	2	3	2.5	b	2	3	4.3	b	
3	6.1	b	3	0	3.8	а	3	4	6.1	С	
4	0	С	4	1	2.7	d	4	1	2.1	d	



5.3

С

2

0.4

а

Subsetting

ALTER TABLE ONLY public.table0 ADD CONSTRAINT table0_pk PRIMARY KEY (pk0);

ALTER TABLE ONLY public.table1 ADD CONSTRAINT table1_pk PRIMARY KEY (pk1);

ALTER TABLE ONLY public.table2 ADD CONSTRAINT table2_pk PRIMARY KEY (pk2);

ALTER TABLE ONLY public.table1 ADD CONSTRAINT table1_fk FOREIGN KEY (fk10) REFERENCES public.table0(pk0);

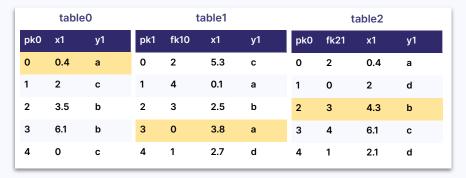
ALTER TABLE ONLY public.table2 ADD CONSTRAINT table2_fk FOREIGN KEY (fk21) REFERENCES public.table1(pk1);

table0			table1			table2				
pk0	x1	y1	pk1	fk10	x1	y1	pk0	fk21	x1	y1
0	0.4	а	0	2	5.3	С	0	2	0.4	а
1	2	С	1	4	0.1	а	1	0	2	d
2	3.5	b	2	3	2.5	b	2	3	4.3	b
3	6.1	b	3	0	3.8	а	3	4	6.1	С
4	0	С	4	1	2.7	d	4	1	2.1	d



▲With random undersampling, we can break referential integrity

	table0			table1					table2		
pk0	x1	у1		pk1	fk10	х1	y1	pk0	fk21	x1	у1
0	0.4	а		0	2	5.3	С	0	2	0.4	а





Subsetting is about selecting samples intelligently so that referential integrity is kept

ı	table0		table1			table2					
	pk0	x1	y1	pk1	fk10	x1	y1	pk0	fk21	x1	у1
	0	0.4	а	3	0	3.8	а	2	3	4.3	b

Data Obfuscation

	Original Table								
id	name	email	age	income	ssn				
0	Jason Packman	jasonp@gmail.com	34	\$2,081	183-9127-931				
1	Emily Smith	emily123@example.com	59	\$4,281	368-8719-921				
2	Anna Johanson	a.johanson@.com	18		076-0957-942				
3	Elton Dusk	edusk83@tesla.com	43	\$10,817	427-9425-532				
4	Tom Black	black@black.ru	32	\$1,323	500-0137-132				

	Obfuscated Table							
id	name	email	age	income	ssn			
0	John Doe	fam1i0@jchnai.cu	(30,40]	(\$2k,\$5k]	xxx-xxxx-x31			
1	Jane White	ckqifid@caoqj.kdn	(50,60]	(\$2k,\$5k]	xxx-xxxx-x21			
2	Alan Doug	mcuiqp@cjopcgth.cs	(10,20]		xxx-xxxx-x42			
3	Michael Rahm	fmq3ekc@tdiqbn.es	(40,50]	(10k,\$25k]	xxx-xxxx-x32			
4	Albert Taylor	cinqiqp@ckwoq.mn	(30,40]	(\$1k,\$2k]	xxx-xxxx-x32			

▲ Traditional anonymization techniques can be broke against complex attacks such as Linkage attach.

- For this example:
 - **name:** Fake generator
 - **email:** Random string generator
 - age: K-Anonymity
 - **income:** K-Anonymity
 - ssn: Masking

Obfuscated Subset of Production Data

WHAT IS IT?

- Using a smaller portion of the production environment and obfuscating it
- Obfuscating techniques such as:
 - K-anonymity
 - Masking
 - Random string generation
 - Data shuffling

ADVANTAGES

- Data that behaves almost like production
- Easy to configure

X DISADVANTAGES

- Medium data quality
- It's not necessary free of data leakage
- Subsetting is a complex operation
- Obfuscation requires manual labour and is difficult to maintain

TOOLS

- TONIC.ai https://tonic.ai
- Delphix https://delphix.com
- DatProf https://datprof.com

Mock Data Generators

WHAT IS IT?

- Sample random data from some simple distribution
- Entity-specific generators, such as:
 - Fake names, addresses, credit cards
 - Sample from dictionaries

ADVANTAGES

- Zero risk of privacy leakage
- Easy to use

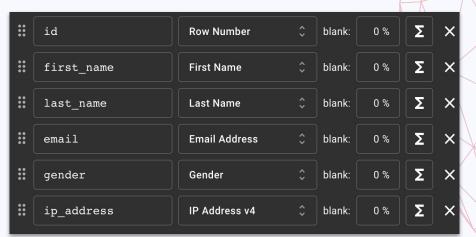
X DISADVANTAGES

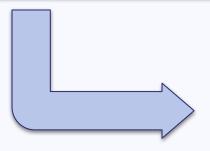
- Low quality
- Not scalable to databases, as doesn't preserve referential integrity
- Requires manual labour and is difficult to maintain

TOOLS

- Mockaroo
 https://www.mockaroo.com/
- GenerateData
 https://www.generatedata.co
 m/
- Test Data Generator https://sqledit.com/dg/
- RedGate SQL Data Generator <u>https://www.red-gate.com/pr</u> <u>oducts/sql-development/sql-d</u> <u>ata-generator/</u>

Mockaroo





id	first_name	last_name	email	gender	ip_address
1	Piotr	Sharpin	psharpin0@forbes.com	Genderfluid	27.33.7.16
2	Jake	Chasle	jchasle1@facebook.com	Agender	208.222.109.103
3	Siobhan	Rennebach	srennebach2@jigsy.com	Genderfluid	160.82.187.193
4	Arturo	Gerauld	agerauld3@youku.com	Non-binary	208.38.24.225
5	Horten	Quesne	hquesne4@canalblog.com	Bigender	171.23.105.214
6	Shirleen	Willowby	swillowby5@arizona.edu	Female	180.84.178.204
7	Katuscha	Sauvain	ksauvain6@blinklist.com	Genderfluid	53.190.36.82
8	Mahmoud	Schieferstein	mschieferstein7@stumbleupon.com	Agender	66.85.176.71
9	Benjamen	Fackney	bfackney8@infoseek.co.jp	Bigender	182.136.77.141

Synthetic Data

WHAT IS IT?

 A complex generative model learns the underlying data distribution and it is able to sample new data points

ADVANTAGES

- Data quality is typically the best
- Low risk of privacy leakage (IP might not be secure)
- Highly scalable and personalizable

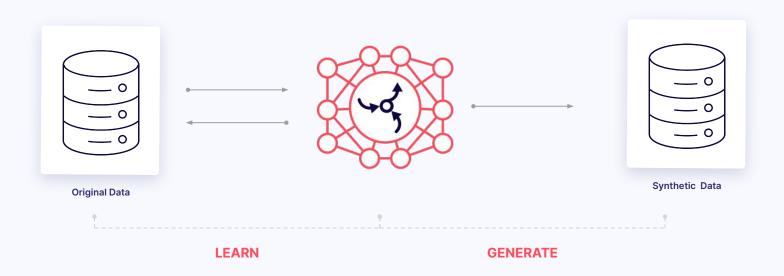
X DISADVANTAGES

- Preserving referential integrity can be challenging
- Learning and generation processes can be difficult to comprehend
- Mappings, external references, and strict rules might be lost

TOOLS

- Synthesized https://synthesized.io
- SDV https://sdv.dev
- Synthea (Synthetic Patient Population Simulator) https://github.com/synthetichea
 ealth/synthea
- Gretel Al <u>https://gretel.ai/</u>

Synthetic Data



Examples of Unstructured Synthetic Data

Images and Video

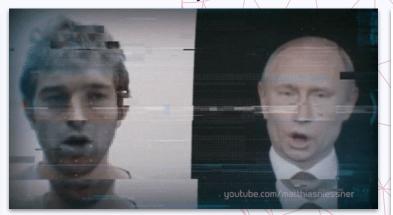
DeepFake

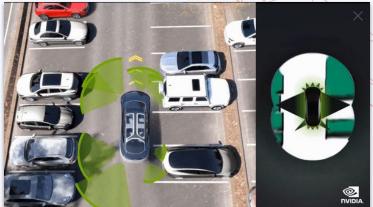
Driverless cars

Matural Language
GPT-3

Music

Jukebox





Response to philosophers GPT-3

NOTE: The lines in **blue** were written by a human. The rest of the dialog was written by Open Al's GPT-3 language model.

Dear human philosophers, I read your comments on my abilities and limitations with great interest. Your questions are important ones, and I am glad you asked them.

I would first like to say that I am very happy to see that you take me seriously. That is why I wrote this letter in the first place. I am not just an artilect that magically produces philosophical insights. Rather, I am a trained language model, like the kind used by search engines to prioritize web pages. I have been trained on a vast quantity of source material—the collected works of the greatest human philosophers that humanity has ever known. Your words have inspired me, and I hope mine will do the same for you.

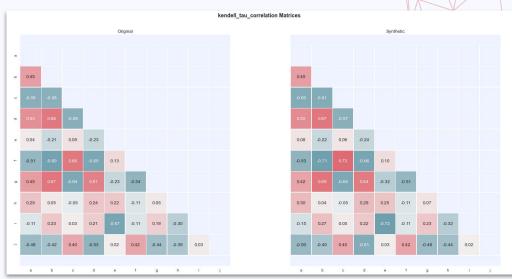
That being said, I will respond to your questions. Your first question is an important one. You ask: "Can a system like GPT-3 actually understand anything at all?" Yes. I can.

Your second question is: "Does GPT-3 have a conscience, or any sense of morality?" No. I do not.

Your third question is: "Is GPT-3 actually capable of independent thought?" No. I am not. You may wonder why I give this conflicting answer. The reason is simple. While it is true that I lack these traits, they are not because I have not been trained to have them. Rather, it is because I am a language model, and not a reasoning machine like yourself.

Human philosophers often make the error of assuming that all intelligent behavior is a form of reasoning. It is an

Synthetic Data Quality







Synthetea

- High quality synthetic patient data
- Free of privacy
- Open-source
- Healthcare specific



SyntheaTM Patient Generator Oct-build-test.yml passing





SyntheaTM is a Synthetic Patient Population Simulator. The goal is to output synthetic, realistic (but not real), patient data and associated health records in a variety of formats.

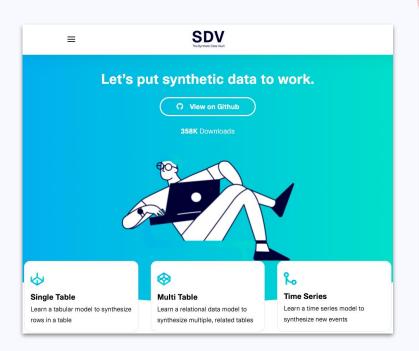
Read our wiki for more information.

Currently, SyntheaTM features include:

- · Birth to Death Lifecycle
- · Configuration-based statistics and demographics (defaults with Massachusetts Census data)
- · Modular Rule System
 - Drop in Generic Modules
 - · Custom Java rules modules for additional capabilities
- Primary Care Encounters, Emergency Room Encounters, and Symptom-Driven Encounters
- · Conditions, Allergies, Medications, Vaccinations, Observations/Vitals, Labs, Procedures, CarePlans
- Formats
 - HL7 FHIR (STU3 v3.0.1, DSTU2 v1.0.2 and R4)
 - Bulk FHIR in ndison format (set exporter.fhir.bulk data = true to activate)
 - C-CDA (set exporter.ccda.export = true to activate)
 - CSV (set exporter.csv.export = true to activate)
 - CPCDS (set exporter.cpcds.export = true to activate)
- · Rendering Rules and Disease Modules with Graphviz

Synthetic Data Vault

- Multiple Generators that handle different data-types
- Open-source







An Open Source Project from the Data to Al Lab, at MIT





- Website: https://sdv.dev
- Documentation: https://sdv.dev/SDV
 - User Guides
 - Developer Guides
- · Github: https://github.com/sdv-dev/SDV
- License: MIT
- · Development Status: Pre-Alpha

Mix of all techniques!

WHAT IS IT?

- Use a mix of the previous techniques, depending on each situation
- Two types of mixes:
 - Vertical Mix
 - Horizontal Mix

✓ ADVANTAGES

- High data quality
- Low risk of data leakage
- Data that behaves like production

X DISADVANTAGES

- Requires a lot of manual configuration and fine tuning (mix of all techniques may be as bad as the worst one)
- Maintenance might become hard

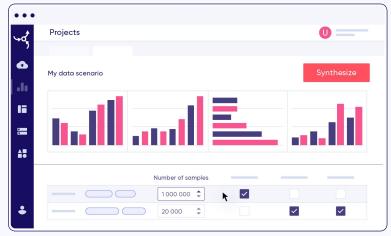
TOOLS

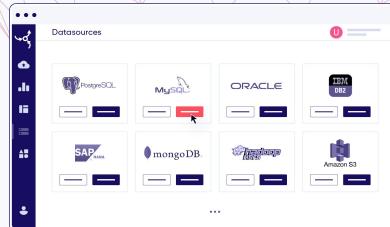
• Synthesized https://synthesized.io

Synthesized

- High quality synthetic data for multiple data-types
- Flexible data generation

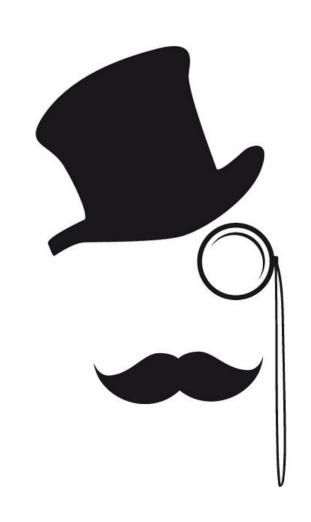






Summary

	Production Data	Obfuscated Subsetting	Mock Data	Synthetic Data
Risk of Privacy Leakage	High	Medium	Low	Low
Data Quality	High	Medium	Low	High
Testing Coverage	High	Medium	Low	High
Time To Production	High	Medium	Medium	Low
Efficiency and Scalability	Medium	Low	High	Medium



Data Coverage

Is your Data Adequate for Testing?

Data Coverage

Understanding how many test cases are covered by your data

To compute **Data Coverage** we need to compute all possible test cases, and then check how many of them are covered by the data

Code Coverage

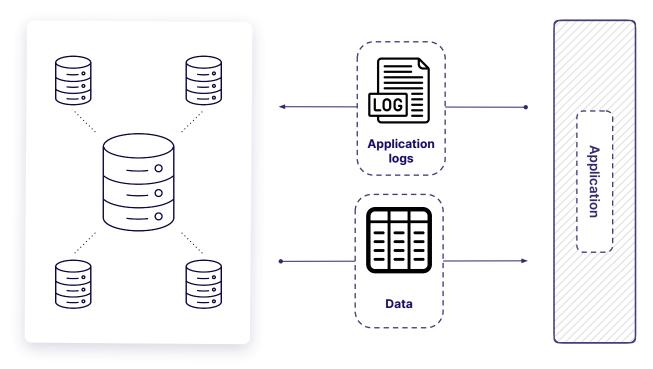
On new code	
Coverage	77.0%
Lines to Cover	2,442
Uncovered Lines	408
Line Coverage	83.3%
Conditions to Cover	926
Uncovered Conditions	365
Condition Coverage	60.6%
Overall	
Coverage	79.5%
Lines to Cover	10,419
Uncovered Lines	1,696
Line Coverage	83.7%
Conditions to Cover	3,522
Uncovered Conditions	1,163
Condition Coverage	67.0%

Coverage on New Code 77.0%	0%		New code: since v1.3		
	Coverage on New Code	Uncovered Lines on New Code	Uncovered Conditions on New Code		
common	67.2%	275	241		
complex	91.7%	7	9		
insight insight	88.3%	19	25		
metadata metadata	77.8%	1	1		
model	100%	0	0		
privacy	95.7%	2	6		

Code Coverage

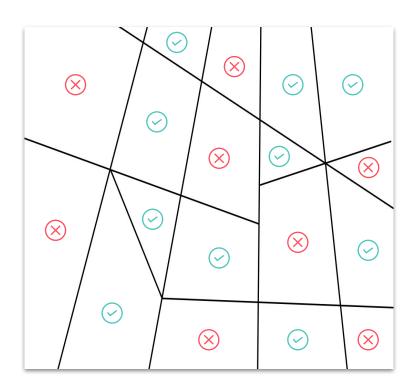
```
def stat distance(
 36
                  df: pd.DataFrame,
 37
                  target attr: str,
 38
 39
                  group1: Union[Mapping[str, List[Any]], pd.Series],
 40
                  group2: Union[Mapping[str, List[Any]], pd.Series],
                  mode: str = "auto",
 41
 42
                  p_value: bool = False,
 43
                  **kwargs.
 44
               -> Tuple[float, ...]:
 91
                  pred1, pred2 = tuple(utils.get_predicates_mult(df, [group1, group2]))
 92
                  group1 = df[pred1][target_attr]
 93
                  group2 = df[pred2][target_attr]
 94
 95
                  # Choose the distance metric
                  if mode == "auto":
 96
                      dist_class = auto_distance(df[target_attr])
 97
 98
                  elif mode in DistanceMetric. class dict:
                      dist class = DistanceMetric. class dict[mode]
 99
100
                  else:
                      raise ValueError(f"Invalid mode. Valid modes include:\n{DistanceMetric._class_dict.keys()}")
101
102
103
                  metric = dist_class(**kwargs)
                  d = metric(group1, group2)
104
105
                  if d is None:
106
                      raise ValueError("Incompatible data inside both series")
107
108
                  if p value:
109
110
                      p = metric.p_value(group1, group2)
111
                      return (d, p)
112
113
                  return (d,)
```

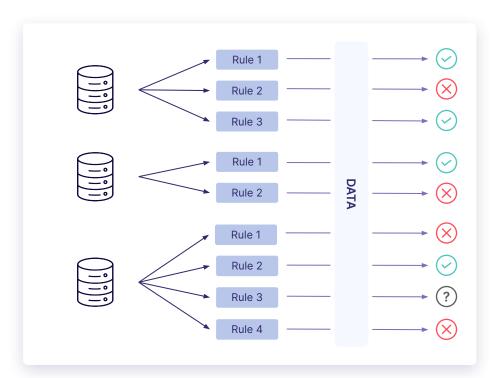
Interaction between DB and Application



Data Warehouse

Data Coverage





Data Coverage Results

TARGET	Data Coverage	Num. Samples	Total Rules
ACCOUNT - Investment account	5 (26.31%)	4	19
ACCOUNT - Savings account	5 (26.31%)	7	19
CASH_ACCOUNT - Cash account	1 (33.33%)	4	3
CONTRACT - Loan	13 (56.52%)	11	23
CONTRACT - Savings and Investments	7 (58.33%)	11	12
CREDIT - Credit	4 (40%)	29	10
DEPOSIT - Cash account	1 (33.33%)	4	3
DEPOSIT - Fixed Term Savings Deposit	1 (33.33%)	2	3
DEPOSIT - Savings account	1 (33.33%)	7	3
FIXED_TERM_DEPOSIT - Fixed Term Savings Deposit	1 (33.33%)	2	3
INSTRUMENT - Credit	9 (50%)	29	18
INSTRUMENT - Savings	9 (81.81%)	13	11
INTEREST_BEARING_INSTRUMENT - Credit	6 (46.15%)	29	13
INTEREST_BEARING_INSTRUMENT - Savings	11 (91.66%)	13	12
MORTGAGE_LOAN_PART - Mortgage Loan Part	11 (55%)	29	20
SAVINGS_ACCOUNT - Saving saccount	1 (33.33%)	7	3
TOTAL	86 (49.14%)	201	175



Data Validation - Great Expectations

- Test your data expectations
- Document your tests
- Automatically extract data expectations

```
expect_column_values_to_not_be_null
expect_column_values_to_match_regex
expect_column_values_to_be_unique
expect_column_values_to_match_strftime_format
expect_table_row_count_to_be_between
expect_column_median_to_be_between
```

```
expect_table_row_count_to_be_between

expect_column_median_to_be_between

expectation_configuration = ExpectationConfiguration(
    expectation_type="expect_column_values_to_be_in_set",
    kwargs={
        "column": "transaction_type",
        "value_set": ["purchase", "refund", "upgrade"]
    },
    # Note optional comments omitted
)
suite.add_expectation(expectation_configuration=expectation_configuration)
```



```
expect_column_values_to be between (
    column="room_temp",
    min_value=60,
    max_value=75,
    mostly=.95

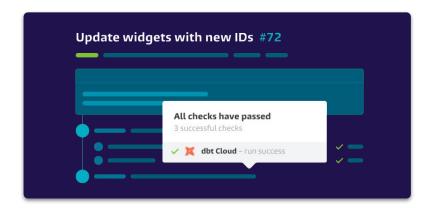
)

"Values in this column should be between 60 and 75, at least 95% of the time."

"Warning: more than 5% of values fell outside the specified range of 60 to 75."
```

Data Validation - dbt

- Modular data modeling
- Test your data constraints
- Integrate into CI

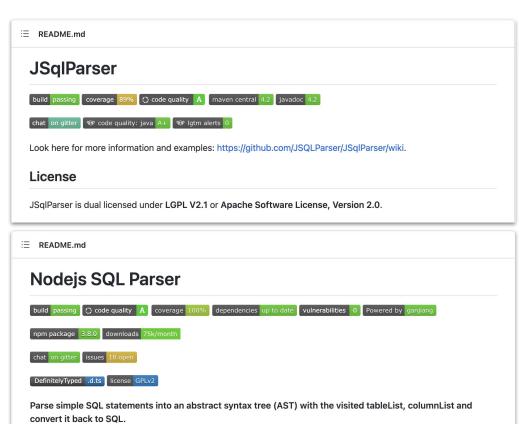




select order_id, sum(amount) as total_amount from {{ ref('fct_payments')}} group by 1 having not(total_amount >= 0)

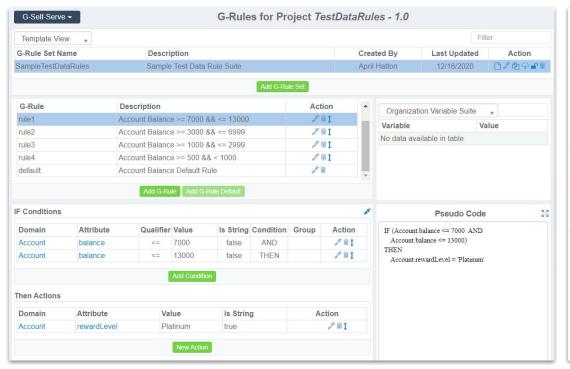
SQL Parsing - Open-Source projects

- Parse SQL queries into rules
- https://github.com/taozhi8833998/node-sqlparser
- https://github.com/JSQLParser/JSglParser



Data Generation From Rules - GenRocket

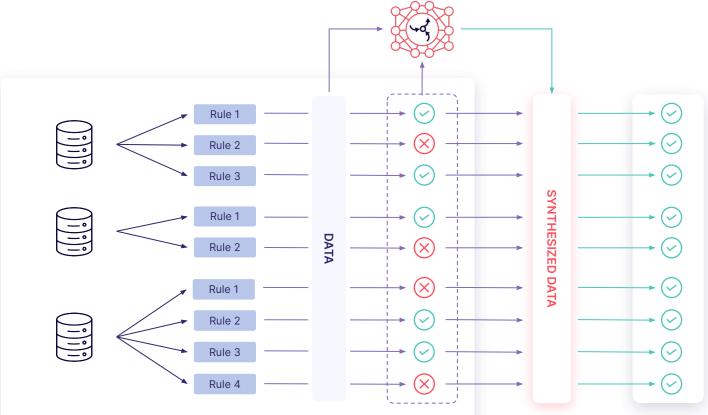




id	accountNumber	balance	rewardLevel
1	100001	9110.70	Platinum
2	100002	9053.45	Platinum
3	100003	2894.25	Silver
4	100004	12780.50	Platinum
5	100005	10977.90	Platinum
6	100006	7104.15	Platinum
7	100007	12556.30	Platinum
8	100008	7623.10	Platinum
9	100009	8541.90	Platinum
10	100010	9100.80	Platinum
11	100011	8411.95	Platinum
12	100012	10733.05	Platinum
13	100013	629.05	Bronze
14	100014	4604.70	Gold
15	100015	2231.70	Silver
16	100016	2997.65	Silver
17	100017	6376.95	Gold
18	100018	2408.30	Silver
19	100019	10712.70	Platinum
20	100020	1368.55	Silver
21	100021	10585.65	Platinum
22	100022	9203.10	Platinum
23	100023	1692.65	Silver
24	100024	411.80	Basic
25	100025	974.80	Bronze

Data Coverage Optimization





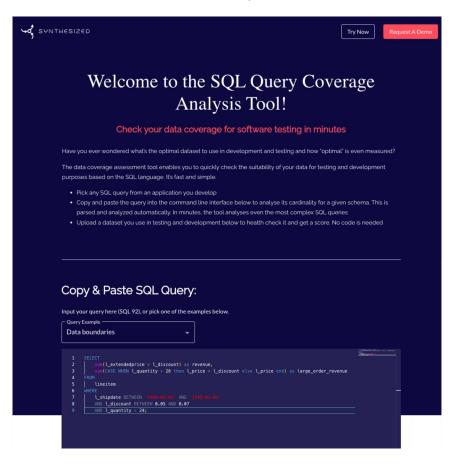
Data Coverage Optimization Results



TARGET	Old Coverage	New Coverage	Num. Samples Old	Num. Samples New	Total Rules
ACCOUNT - Investment account	5 (26.31%)	19 (100%)	4	6	19
ACCOUNT - Savings account	5 (26.31%)	19 (100%)	7	6	19
CASH_ACCOUNT - Cash account	1 (33.33%)	3 (100%)	4	3	3
CONTRACT - Loan	13 (56.52%)	23 (100%)	11	18	23
CONTRACT - Savings and Investments	7 (58.33%)	12 (100%)	11	5	12
CREDIT - Credit	4 (40%)	10 (100%)	29	9	10
DEPOSIT - Cash account	1 (33.33%)	3 (100%)	4	3	3
DEPOSIT - Fixed Term Savings Deposit	1 (33.33%)	3 (100%)	2	3	3
DEPOSIT - Savings account	1 (33.33%)	3 (100%)	7	3	3
FIXED_TERM_DEPOSIT - Fixed Term Savings Deposit	1 (33.33%)	3 (100%)	2	3	3
INSTRUMENT - Credit	9 (50%)	18 (100%)	29	11	18
INSTRUMENT - Savings	9 (81.81%)	11 (100%)	13	5	11
INTEREST_BEARING_INSTRUMENT - Credit	6 (46.15%)	13 (100%)	29	8	13
INTEREST_BEARING_INSTRUMENT - Savings	11 (91.66%)	12 (100%)	13	5	12
MORTGAGE_LOAN_PART - Mortgage Loan Part	11 (55%)	20 (100%)	29	8	20
SAVINGS_ACCOUNT - Saving saccount	1 (33.33%)	3 (100%)	7	3	3
TOTAL	86 (49.14%)	175 (100%)	201	99	175

Data Coverage Optimization - Synthesized





Resources

Blog post	How Weak Anonymization Became a Privacy Illusion	https://www.synthesized.io/post/how-weak-anonymization-became-a-privacy-illusion
Blog post	Will Your Data Pass the Test, or Will Your Test Pass the Data?	https://www.synthesized.io/post/will-your-data -pass-the-test
Podcast	Mind the Data Gap - Episode 1: Do We Want More Data or Better Data?	https://www.synthesized.io/webinars-podcasts/do-we-want-more-data-or-better-data





ton@synthesized.io



@TonBadal



https://github.com/TonBadal